

# Iowa's Balancing Incentive Payment Program Work Plan

Category	Major Objective	Interim Tasks	Suggested Due Date	Deliverables	Lead Person	Current Status	Experienced or Anticipated Challenges	Plan to Address Challenges
General NWD/SEP Structure	1. All individuals receive standardized information and experience the same eligibility determination and enrollment processes.	1.1. Develop standardized informational materials that NWD/SEPs provide to individuals	7/1/2013	Informational materials	Theresa Armstrong/Joe Sample	<ul style="list-style-type: none"> <li>Using lifelonglinks.org as the brand for the ADRCs and have informational/marketing materials already developed.</li> <li>Lifelong Links is trademarked (but may need to be revised for expanded population).</li> <li>Exploring collaboration with other partners.</li> </ul>	<ul style="list-style-type: none"> <li>A comprehensive list of standardized materials and the audience (entities designated as NWD/SEPs) has not yet been determined.</li> <li>Approval from all state agencies may present timeliness challenges moving forward to the 6/13 due date.</li> </ul>	<ul style="list-style-type: none"> <li>The state will make all efforts to coordinate this deliverable to allow for full collaboration across agencies and hierarchal approval.</li> </ul>
General NWD/SEP Structure	1. All individuals receive standardized information and experience the same eligibility determination and enrollment processes.	1.2. Train all participating agencies/staff on eligibility determination and enrollment processes	10/1/2014	Training agenda and schedule	Deborah Johnson	<ul style="list-style-type: none"> <li>Determining mode of training, target audience, responsible parties, content, and automation of training.</li> </ul>	<ul style="list-style-type: none"> <li>There may be timing issues with establishing the software, developing curriculum, and completion of training.</li> <li>Finalization of the most appropriate evidence-based tool(s) for the Level I eligibility screening.</li> </ul>	<ul style="list-style-type: none"> <li>The state will attempt to develop a comprehensive training that incorporates all aspects of the new NWD/SEP system for the purpose of efficiency.</li> <li>The state has and will continue to research models of eligibility screening that can be modified for Iowa's needs.</li> </ul>
General NWD/SEP Structure	1. All individuals receive standardized information and experience the same eligibility determination and enrollment processes.	1.2. Train all participating agencies/staff on eligibility determination and enrollment processes	1/1/2014	Train all participating agencies	Deborah Johnson	<ul style="list-style-type: none"> <li>Pending development of training materials.</li> </ul>	<ul style="list-style-type: none"> <li>There may be timing issues with establishing the software, developing curriculum, and completion of training.</li> <li>Finalization of the most appropriate evidence-based tool(s) for the Level I eligibility screening.</li> </ul>	<ul style="list-style-type: none"> <li>The state will attempt to develop a comprehensive training that incorporates all aspects of the new NWD/SEP system for the purpose of efficiency.</li> </ul>

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General NWD/SEP Structure	<p>2. A single eligibility coordinator, "case management system," or otherwise coordinated process guides the individual through the entire functional and financial eligibility determination process. Functional and financial assessment data or results are accessible to NWD/SEP staff so that eligibility determination and access to services can occur in a timely fashion. (The timing below corresponds to a system with an automated Level I screen, an automated Level II assessment and an automated case management system. NWD/SEP systems based on paper processes should require less time to put into place.)</p>	2.1. Design system (initial overview)	10/30/2012	Description of the system	Deborah Johnson/Theres a Armstrong/Joe Sample	Completed - See Tab 2.1	<ul style="list-style-type: none"> <li>Establishing links between existing systems and the "entry point" software will be complicated and experiencing transition with the implementation of a novel eligibility system (ELIAS) and Medicaid management system (MIDAS).</li> <li>Multiple databases working towards more robust integration across state agencies creates complex linkages.</li> <li>Iowa has been overhauling its disability services coordination network and aging services network through legislative redesign which has caused a shifting of community partners.</li> </ul>	<ul style="list-style-type: none"> <li>Open communication and involvement during the development of these systems, MIDAS and ELIAS, to advocate for the requirements that will be required for the "entry point" software.</li> <li>Continue communication and workgroup efforts to identify gaps, develop an integrated system, and solidify partnerships.</li> </ul>
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General NWD/SEP Structure	<p>2. A single eligibility coordinator, "case management system," or otherwise coordinated process guides the individual through the entire functional and financial eligibility determination process. Functional and financial assessment data or results are accessible to NWD/SEP staff so that eligibility determination and access to services can occur in a timely fashion. (The timing below corresponds to a system with an automated Level I screen, an automated Level II assessment and an automated case management system. NWD/SEP systems based on paper processes should require less time to put into place.)</p>	2.3. Select vendor (if automated)	12/1/2013	Vendor name and qualifications	Deborah Johnson/Theres a Armstrong/Jo Sample	<ul style="list-style-type: none"> <li>Identifying entry point software needs and discussing with partner agencies prior to selection of vendor.</li> <li>State is currently undergoing development of new Medicaid management and eligibility systems (MIDAS and ELIAS) that would need to communicate with entry point software. Vendors have already been selected for these system designs.</li> </ul>	<ul style="list-style-type: none"> <li>Unknown at this time.</li> </ul>	<ul style="list-style-type: none"> <li>The state will continue to reassess challenges as projects progresses.</li> </ul>

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General NWD/SEP Structure	2. A single eligibility coordinator, "case management system," or otherwise coordinated process guides the individual through the entire functional and financial eligibility determination process. Functional and financial assessment data or results are accessible to NWD/SEP staff so that eligibility determination and access to services can occur in a timely fashion. (The timing below corresponds to a system with an automated Level I screen, an automated Level II assessment and an automated case management system. NWD/SEP systems based on paper processes should require less time to put into place.)	2.5. System goes live	1/1/2015	Memo indicating system is fully operational	Deborah Johnson/Theres a Armstrong/Joe Sample	<ul style="list-style-type: none"> <li>Awaiting vendor designation and system development.</li> </ul>	<ul style="list-style-type: none"> <li>Anticipate end-user questions and necessary adjustments to the IT system.</li> </ul>	<ul style="list-style-type: none"> <li>The state will incorporate need for vendor support beyond the date that the system goes live to assist with anticipated challenges.</li> </ul>

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NWD/SEP	3. State has a network of NWD/SEPs and an Operating Agency; the Medicaid Agency is the Oversight Agency.	3.1. Identify the Operating Agency	10/30/2012	Name of Operating Agency	Deborah Johnson	Completed - See Tab 3.1	• Organizing and communicating specific responsibilities that aligns with each agency's complex federal and state mandates, rules, and policies.	• Continue to coordinate activities that have impact on the new system and address collateral issues.
NWD/SEP	3. State has a network of NWD/SEPs and an Operating Agency; the Medicaid Agency is the Oversight Agency.	3.2. Identify the NWD/SEPs	10/30/2012	List of NWD/SEP entities and locations	Theresa Armstrong/Joe Sample	Completed - See Tab 3.2	• Complexities of regional administration and local partnerships in implementing the NWD/SEP/ADRC based on the final designation of the ADRCs and their locations.	• Cultivate stakeholder input as the state devises a coverage area plan and identify core partners.
NWD/SEP	3. State has a network of NWD/SEPs and an Operating Agency; the Medicaid Agency is the Oversight Agency.	3.3. Develop and implement a Memorandum of Understanding (MOU) across agencies	1/1/2013	Signed MOU	Theresa Armstrong	• Establishing DHS and IDA memorandum of understanding on establishing vision of NWD/SEP/ADRCs system.	• Organizing and communicating specific responsibilities that aligns with each agency's complex federal and state mandates, rules, and policies.	• Continue to coordinate activities that have impact on the new system and address collateral issues.

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NWD/SEP	4. NWD/SEPs have access points where individuals can inquire about community LTSS and receive comprehensive information, eligibility determinations, community LTSS program options counseling, and enrollment assistance.	4.1. Identify service shed coverage of all NWD/SEPs	4/1/2013	Percentage of State population covered by NWD/SEPs	Theresa Armstrong/Joe Sample	<ul style="list-style-type: none"> <li>• Lifelong Links provides web-access to information, referral and access services to 100% of the state.</li> <li>• ADRCs are established in 17 of Iowa's 99 counties.</li> <li>• MHDS regions are forming.</li> <li>• AAAs are being redesigned and will evaluate their ability to become ADRCs.</li> </ul>	<ul style="list-style-type: none"> <li>• Complexities of regional administration and local partnerships in implementing the NWD/SEP/ADRC based on the final designation of the ADRCs and and Regional offices their locations.</li> </ul>	<ul style="list-style-type: none"> <li>• Cultivate stakeholder input as the state devises a coverage area plan and identify core partners.</li> </ul>
NWD/SEP	4. NWD/SEPs have access points where individuals can inquire about community LTSS and receive comprehensive information, eligibility determinations, community LTSS program options counseling, and enrollment assistance.	4.2. Ensure NWD/SEPs are accessible to older adults and individuals with disabilities	7/1/2013	Identify Aging and Disability Resource Centers (ADRCs) and Regional sites	Theresa Armstrong/Joe Sample	<ul style="list-style-type: none"> <li>• Counties are currently in the process of forming regions.</li> <li>• Area Agencies on Aging are applying to become recognized by state as ADRCs.</li> </ul>	<ul style="list-style-type: none"> <li>• Complexities of regional administration and local partnerships in implementing the NWD/SEP/ADRC based on the final designation of the ADRCs and and Regional offices their locations.</li> </ul>	<ul style="list-style-type: none"> <li>• Cultivate stakeholder input as the state devises a coverage area plan and identify core partners.</li> </ul>
NWD/SEP	4. NWD/SEPs have access points where individuals can inquire about community LTSS and receive comprehensive information, eligibility determinations, community LTSS program options counseling, and enrollment assistance.	4.2. Ensure NWD/SEPs are accessible to older adults and individuals with disabilities	10/1/2013	Description of NWD/SEP features that promote accessibility	Theresa Armstrong/Joe Sample	<ul style="list-style-type: none"> <li>• Rules for region accessibility and Olmstead principle adoption.</li> <li>• Identifying locations that are going to be designated as NWD/SEP/ADRCs.</li> <li>• Testing "cultural outreach" coordinator in one ADRC to engage Spanish speaking community members.</li> </ul>	<ul style="list-style-type: none"> <li>• There are going to older, noncompliant buildings being used by current partners.</li> <li>• Cost associated with updates and modifications necessary to partners.</li> <li>• Much of the state is rural and does not have consistent access to public transportation.</li> <li>• Communities may not have existing knowledge of accessibility requirements.</li> <li>• May be communities with a shortage of translation services.</li> </ul>	<ul style="list-style-type: none"> <li>• State will provide technical assistance and guidance on accessibility requirements.</li> <li>• State supported technologies and printed materials will be evaluated to ensure Section 508 compliance.</li> <li>• Will work with Department of Transportation to utilize ride share software and mobility managers.</li> <li>• State will research telephonic translation services.</li> </ul>

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Website	5. The NWD/SEP system includes an informative community LTSS website; Website lists 1-800 number for NWD/SEP system.	5.1. Identify or develop URL	4/1/2013	URL	Deborah Johnson/Theresa Armstrong/Joe Sample	<ul style="list-style-type: none"> <li>Multiple URLs for I/R&amp;A system currently exist.</li> <li>www.lifelonglinks.org is "owned" by state as website to link to the various databases.</li> <li>Exploring "entry-point software" that combines I/R&amp;A with state's eligibility, screening, service plans, and other state-based IT systems that under development to ensure no-wrong door.</li> </ul>	<ul style="list-style-type: none"> <li>Lack of state wide penetration of the lifelonglinks.org brand.</li> <li>May need to rebrand lifelonglinks.org or consider alternatives.</li> </ul>	<ul style="list-style-type: none"> <li>Utilize stakeholder and vendor support for marketing of the URL.</li> </ul>
Website	5. The NWD/SEP system includes an informative community LTSS website; Website lists 1-800 number for NWD/SEP system.	5.2. Develop and incorporate content	7/1/2013	Working URL with content completed	Deborah Johnson/Theresa Armstrong/Joe Sample	<ul style="list-style-type: none"> <li>Research and establish comprehensive list of State and Veteran resources to be placed on website.</li> </ul>	<ul style="list-style-type: none"> <li>Migration of current databases into new entry point software system may present challenges.</li> </ul>	<ul style="list-style-type: none"> <li>Utilize stakeholder and vendor support for migration of database content.</li> </ul>
Website	5. The NWD/SEP system includes an informative community LTSS website; Website lists 1-800 number for NWD/SEP system.	5.3. Incorporate the Level I screen into the website <i>(recommended, not required)</i>	7/1/2014	Working URL of Level I screen and instructions for completion	Deborah Johnson/Theresa Armstrong/Joe Sample	<ul style="list-style-type: none"> <li>Exploring Level I assessment tools that capture all the necessary information to move the consumer through the eligibility process and refer to appropriate services.</li> </ul>	<ul style="list-style-type: none"> <li>There may be timing issues with establishing the software and incorporating screening processes.</li> <li>Finalization of the most appropriate evidence-based tool(s) for the Level I eligibility screening.</li> </ul>	<ul style="list-style-type: none"> <li>The state has and will continue to research models of eligibility screening that can be modified for Iowa's needs.</li> </ul>
1-800 Number	6. Single 1-800 number where individuals can receive information about community LTSS options in the State, request additional information, and schedule appointments at local NWD/SEPs for assessments.	6.1. Contract 1-800 number service	10/1/2013	Phone number	Deborah Johnson/Theresa Armstrong/Joe Sample	<ul style="list-style-type: none"> <li>Release an RFI to determine service options.</li> <li>Identify current entities that provide similar services, how they function, and how they are funded.</li> </ul>	<ul style="list-style-type: none"> <li>Numerous telephone numbers already exist across the state to assist in accessing services.</li> <li>Defining the process of using a centralized or routed call center.</li> </ul>	<ul style="list-style-type: none"> <li>Utilize stakeholder and vendor support in establishing the most effective call center number and structure.</li> </ul>

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<b>1-800 Number</b>	6. Single 1-800 number where individuals can receive information about community LTSS options in the State, request additional information, and schedule appointments at local NWD/SEPs for assessments.	6.2. Train staff on answering phones, providing information, and conducting the Level I screen	10/1/2013	Training materials	Deborah Johnson/ Theresa Armstrong/Joe Sample	<ul style="list-style-type: none"> <li>• Certified and trained I/R&amp;A specialists already across the state.</li> <li>• Determining best strategy in merging talent pools.</li> <li>• Awaiting results of RFI and potential RFA.</li> </ul>	<ul style="list-style-type: none"> <li>• Specialists are in silos rather than working together for I/R&amp;A functions.</li> </ul>	<ul style="list-style-type: none"> <li>• Utilize stakeholder and vendor support in establishing the most training strategy in the call centers.</li> </ul>
<b>1-800 Number</b>	6. Single 1-800 number where individuals can receive information about community LTSS options in the State, request additional information, and schedule appointments at local NWD/SEPs for assessments.	6.2. Train staff on answering phones, providing information, and conducting the Level I screen	1/1/2014	All staff have been trained	Deborah Johnson/ Theresa Armstrong/Joe Sample	<ul style="list-style-type: none"> <li>• Certified and trained I/R&amp;A specialists already across the state.</li> <li>• Determining best strategy in merging talent pools.</li> <li>• Awaiting results of RFI and potential RFA.</li> </ul>	<ul style="list-style-type: none"> <li>• Specialists are in silos rather than working together for I/R&amp;A functions.</li> </ul>	<ul style="list-style-type: none"> <li>• Utilize stakeholder and vendor support in establishing the most training strategy in the call centers.</li> </ul>
<b>Advertising</b>	7. State advertises the NWD/SEP system to help establish it as the “go to system” for community LTSS	7.1. Develop advertising plan	4/1/2013	Advertising plan	Deborah Johnson/ Theresa Armstrong/Joe Sample	<ul style="list-style-type: none"> <li>• Identifying stakeholder groups to provide input on advertising strategy to community partners. As part of stakeholder engagement, the elements of the plan will include branding, logo design, target audiences, referral logic model, and implementation strategy.</li> </ul>	<ul style="list-style-type: none"> <li>• There is a substantial portion of the population that does not know that long term support services exist or how they can be accessed.</li> </ul>	<ul style="list-style-type: none"> <li>• Utilize stakeholder and vendor support to develop advertising strategy that maximizes market penetration.</li> </ul>
<b>Advertising</b>	7. State advertises the NWD/SEP system to help establish it as the “go to system” for community LTSS	7.2. Implement advertising plan	7/1/2013	Materials associated with advertising plan	Deborah Johnson/ Theresa Armstrong/Joe Sample	<ul style="list-style-type: none"> <li>• Pending development of the strategic plan.</li> </ul>	<ul style="list-style-type: none"> <li>• Implementation is inefficient and ineffective until vendors, products, and system organization is in place.</li> </ul>	<ul style="list-style-type: none"> <li>• Rollout of the plan will occur once the central products, vendors, and system organization are in place.</li> </ul>



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CSA/CDS	8. A CSA, which supports the purposes of determining eligibility, identifying support needs, and informing service planning, is used across the State and across a given population. The assessment is completed in person, with the assistance of a qualified professional. The CSA must capture the CDS (a Core Data Set of required domains and topics).	8.1. Develop questions for the Level I screen	4/1/2013	Level I screening questions	Deborah Johnson	<ul style="list-style-type: none"> <li>Researching current Level I assessment and other assessments that are in development with procured eligibility system (ELIAS). Considering use of a rapid screen similar to Minnesota.</li> </ul>	<ul style="list-style-type: none"> <li>Have not located Level I assessments that are evidence based and therefore may need to create our own.</li> <li>Whichever Level I assessment is chosen needs to feed into other databases, such as ELIAS, for streamlining of eligibility and functional assessments.</li> </ul>	<ul style="list-style-type: none"> <li>Utilize stakeholder and vendor support in question development to specialize for Iowa's needs and technological infrastructure.</li> </ul>
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CSA/CDS	8. A CSA, which supports the purposes of determining eligibility, identifying support needs, and informing service planning, is used across the State and across a given population. The assessment is completed in person, with the assistance of a qualified professional. The CSA must capture the CDS (a Core Data Set of required domains and topics).	8.3. Incorporate additional domains and topics if necessary ( <i>stakeholder involvement is highly recommended</i> )	4/1/2013	Final Level II assessment(s); notes from meetings involving stakeholder input	Deborah Johnson	<ul style="list-style-type: none"> <li>Crosswalking to determine gaps in current tools and how these gaps are ameliorated with implementation of approved standardized tools.</li> <li>Reviewing MHDS workgroup input and final recommendations.</li> <li>Identifying additional stakeholder groups to provide input on CSAs design and utilization.</li> <li>Identify population requiring a Level II assessment.</li> </ul>	<ul style="list-style-type: none"> <li>Identifying core standardized assessments that are more generalized for our populations with disabilities where the identified assessments may not apply.</li> </ul>	<ul style="list-style-type: none"> <li>Continue to research assessments to find an evidence based tool that can assess needs and determine funding levels without subjective interpretation.</li> </ul>

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CSA/CDS	8. A CSA, which supports the purposes of determining eligibility, identifying support needs, and informing service planning, is used across the State and across a given population. The assessment is completed in person, with the assistance of a qualified professional. The CSA must capture the CDS (a Core Data Set of required domains and topics).	8.5. Identify qualified personnel to conduct the CSA	10/1/2013	List of entities contracted to conduct the various components of the CSA	Deborah Johnson	<ul style="list-style-type: none"> <li>• Establishing Level II expectations for qualifications and delivery of assessment tools.</li> <li>• Evaluating existing partners to determine where qualified professionals may already exist in the system.</li> <li>• Considering use of contracted vendor to conduct Level II assessments.</li> </ul>	<ul style="list-style-type: none"> <li>• Responsiveness to assessment volume demand.</li> <li>• Responsibility of oversight for individuals conducting assessments.</li> </ul>	<ul style="list-style-type: none"> <li>• Expectations for responsiveness will need to be addressed in contract with vendors and/or providers.</li> <li>• Oversight will need to be addressed in rules and contract language.</li> </ul>
CSA/CDS	8. A CSA, which supports the purposes of determining eligibility, identifying support needs, and informing service planning, is used across the State and across a given population. The assessment is completed in person, with the assistance of a qualified professional. The CSA must capture the CDS (a Core Data Set of required domains and topics).	8.6. Regular updates	Semiannua l after 10/1/2013	Description of success and challenges	Deborah Johnson	<ul style="list-style-type: none"> <li>• Awaiting the implementation of CSAs across identified populations.</li> </ul>	<ul style="list-style-type: none"> <li>• Unknown at this time.</li> </ul>	<ul style="list-style-type: none"> <li>• Unknown at this time.</li> </ul>
Conflict-Free Case Management	9. States must establish conflict of interest standards for the Level I screen, the Level II assessment, and plan of care processes. An individual's plan of care must be created independently from the availability of funding to provide services.	9.1. Describe current case management system, including conflict-free policies and areas of potential conflict	10/30/2012	Strengths and weaknesses of existing case management system	Deborah Johnson/Theresa Armstrong	Completed - See Tab 9.1	<ul style="list-style-type: none"> <li>• N/A</li> </ul>	<ul style="list-style-type: none"> <li>• N/A</li> </ul>

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Conflict-Free Case Management	9. States must establish conflict of interest standards for the Level I screen, the Level II assessment, and plan of care processes. An individual's plan of care must be created independently from the availability of funding to provide services.	9.2. Establish protocol for removing conflict of interest	7/1/2013	Protocol for conflict removal; if conflict cannot be removed entirely, explain why and describe mitigation strategies	Deborah Johnson/Theresa Armstrong/Joe Sample	<ul style="list-style-type: none"> <li>Continuing to evaluate rules and systems to reduce the potential for conflict of interest in funding allocation and service referrals. Develop rules b 2/13.</li> <li>Awaiting CMS clarification on the definition of conflict-free case management.</li> <li>Define conflict free CM that includes circumstances of dual relationships.</li> <li>Establishing list of necessary stakeholder involvement for protocol development.</li> <li>Incorporate into provider and case management Quality Assurance process.</li> <li>Trainings will need to be developed for CMs and SWs.</li> <li>Evaluate how to address SW.</li> <li>Evaluate payment methodology considering responsibilities associated with conflict-free case management.</li> <li>Level II Assessment should be done by outside entity.</li> </ul>	<ul style="list-style-type: none"> <li>Stakeholders have demonstrated resistance to this shift.</li> <li>Community provider may need to reorganize service delivery and billing methodology to meet conflict-free standards.</li> <li>Case management and provider capacity is limited in rural areas.</li> </ul>	<ul style="list-style-type: none"> <li>Utilize stakeholder feedback to assist in transition.</li> <li>Rules review and revisions may be necessary to clearly state parameters of conflict-free case management.</li> <li>Evaluate urban vs. rural strategies for firewall maintenance.</li> </ul>
Data Collection and Reporting	10. States must report service, outcome, and quality measure data to CMS in an accurate and timely manner.	10.1. Identify data collection protocol for <i>service data</i>	10/30/2012	Measures, data collection instruments, and data collection protocol	Elizabeth Matney	Completed - See Tab 10.1-10.3	<ul style="list-style-type: none"> <li>Data is compartmentalized at the moment and it is anticipated that data integration and interpretation may be challenging.</li> </ul>	<ul style="list-style-type: none"> <li>Develop a communication strategy about standard data collection methods and how data is interpreted.</li> </ul>

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<b>Data Collection and Reporting</b>	10. States must report service, outcome, and quality measure data to CMS in an accurate and timely manner.	10.2. Identify data collection protocol for <i>quality data</i>	10/30/2012	Measures, data collection instruments, and data collection protocol	Elizabeth Matney	Completed - See Tab 10.1-10.3	<ul style="list-style-type: none"> <li>Data is compartmentalized at the moment and it is anticipated that data integration and interpretation may be challenging.</li> </ul>	<ul style="list-style-type: none"> <li>Develop a communication strategy about standard data collection methods and how data is interpreted.</li> </ul>
<b>Data Collection and Reporting</b>	10. States must report service, outcome, and quality measure data to CMS in an accurate and timely manner.	10.3. Identify data collection protocol for <i>outcome measures</i>	10/30/2012	Measures, data collection instruments, and data collection protocol	Elizabeth Matney	Completed - See Tab 10.1-10.3	<ul style="list-style-type: none"> <li>Data is compartmentalized at the moment and it is anticipated that data integration and interpretation may be challenging.</li> </ul>	<ul style="list-style-type: none"> <li>Develop a communication strategy about standard data collection methods and how data is interpreted.</li> </ul>
<b>Data Collection and Reporting</b>	10. States must report service, outcome, and quality measure data to CMS in an accurate and timely manner.	10.4. Report updates to data collection protocol and instances of <i>service data</i> collection	Semiannua 1	Document describing when data were collected during previous 6-month period, plus updates to protocol	Elizabeth Matney	<ul style="list-style-type: none"> <li>Will be initiated when sources are identified and measures are established.</li> </ul>	<ul style="list-style-type: none"> <li>Becoming accustomed with new reporting system.</li> </ul>	<ul style="list-style-type: none"> <li>The state is in the process of hiring an individual for this project. This person will attend all necessary trainings.</li> </ul>
<b>Data Collection and Reporting</b>	10. States must report service, outcome, and quality measure data to CMS in an accurate and timely manner.	10.5. Report updates to data collection protocol and instances of <i>quality data</i> collection	Semiannua 1	Document describing when data were collected during previous 6-month period, plus updates to protocol	Elizabeth Matney	<ul style="list-style-type: none"> <li>Will be initiated when sources are identified and measures are established.</li> </ul>	<ul style="list-style-type: none"> <li>Becoming accustomed with new reporting system.</li> </ul>	<ul style="list-style-type: none"> <li>The state is in the process of hiring an individual for this project. This person will attend all necessary trainings.</li> </ul>
<b>Data Collection and Reporting</b>	10. States must report service, outcome, and quality measure data to CMS in an accurate and timely manner.	10.6. Report updates to data collection protocol and instances of <i>outcomes measures</i> collection	Semiannua 1	Document describing when data were collected during previous 6-month period, plus updates to protocol	Elizabeth Matney	<ul style="list-style-type: none"> <li>Will be initiated when sources are identified and measures are established.</li> </ul>	<ul style="list-style-type: none"> <li>Becoming accustomed with new reporting system.</li> </ul>	<ul style="list-style-type: none"> <li>The state is in the process of hiring an individual for this project. This person will attend all necessary trainings.</li> </ul>
<b>Sustainability</b>	11. States should identify funding sources that will allow them to build and maintain the required structural changes.	11.1. Identify funding sources to implement the structural changes	0 months (submit with Work Plan)	Description of funding sources	Deborah Johnson/Theresa Armstrong/Joe Sample	Completed - See Tab 11.1	<ul style="list-style-type: none"> <li>Funding is fluid based on legislative process.</li> <li>Identifying and maximizing all potential funding streams.</li> </ul>	<ul style="list-style-type: none"> <li>Agencies will continue to inform funders of the importance of this project and impact on service access for all Iowans.</li> </ul>

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Sustainability	11. States should identify funding sources that will allow them to build and maintain the required structural changes.	11.2. Develop sustainability plan	10/1/2013	Funding sources and estimated annual budget necessary to maintain structural changes after award period ends.	Deborah Johnson/Theres a Armstrong/Joe Sample	<ul style="list-style-type: none"> <li>• Determining current funding streams</li> <li>• State appropriations are designated annually or biannually and adjustments will need to made accordingly.</li> <li>• Looking at ADRC grant opportunity to develop physical and virtual structure.</li> <li>• Identifying gaps of funding and propose permanent funding through legislation.</li> <li>• Investigating possible integration of certain activities by the MIDAS and ELIAS sustainability plan.</li> <li>• Exploring cost sharing with consumers and providers.</li> </ul>	<ul style="list-style-type: none"> <li>• Funding is fluid based on legislative process.</li> <li>• Identifying and maximizing all potential funding streams.</li> </ul>	<ul style="list-style-type: none"> <li>• Agencies will continue to inform funders of the importance of this project and impact on service access for all Iowans.</li> </ul>
Sustainability	11. States should identify funding sources that will allow them to build and maintain the required structural changes.	11.3. Describe the planned usage for the enhanced funding	10/30/2012	Document describing the amount projected for award, the planned expenditures, and how each planned use of funding meets the 'three-part rule.'	Deborah Johnson/Theres a Armstrong/Joe Sample	Completed - See Tab 11.3	• N/A	• N/A

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Exchange IT Coordination	12. States must make an effort to coordinate their NWD/SEP system with the Health Information Exchange IT system.	12.1. Describe plans to coordinate the NWD/SEP system with the Health Information Exchange IT system	4/1/2013	Description of plan of coordination	Elizabeth Matney	<ul style="list-style-type: none"> <li>• Ongoing development of MIDAS, ELIAS, and HIE databases that will have cross-functionality.</li> <li>• Developing “entry point” software model that can streamline access of consumer information, services profile, interface with MIDAS/ELIAS/HIE/ACA initiatives, and provide outcome reports.</li> </ul>	<ul style="list-style-type: none"> <li>• Multiple IT platforms and software components can create interface problems</li> <li>• Establishing security firewalls that also do not prohibit the ability to effectively serve the needs of the consumer</li> <li>• Establishing protocols that address multiple privacy concerns</li> </ul>	<ul style="list-style-type: none"> <li>• Coordination between state agencies on the designs of the various IT systems to ensure new products can be built upon established IT systems</li> <li>• Utilize stakeholder feedback to assist in development of the system</li> <li>• Coordinate IT vendors to ensure systems are able to interface and have cross-functionality</li> </ul>
Exchange IT Coordination	12. States must make an effort to coordinate their NWD/SEP system with the Health Information Exchange IT system.	12.2. Provide updates on coordination, including the technological infrastructure	Semiannual 1	Description of coordination efforts	Elizabeth Matney	<ul style="list-style-type: none"> <li>• Dependent on development of coordination</li> </ul>	<ul style="list-style-type: none"> <li>• Becoming accustomed with new reporting system.</li> </ul>	<ul style="list-style-type: none"> <li>• The state is in the process of hiring an individual for this project. This person will attend all necessary trainings.</li> </ul>